

EXPRESS MAILING CERTIFICATE
TRANSMITTAL LETTER

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Mailing Label Number: X ER 364977622 US

Itemized List of Papers Mailed Under This Transmittal Letter per M.P.E.P. §513:

Application Transmittal Letter with fee specified on its face.

Declaration

Small Entity Statement

Specification (26 Pgs.)

Drawings (8 Sheets)

Information Disclosure Statement with copies of the art specified on its face.

Non-Publication Request

Assignment and Recordation Form Cover Sheet for Mathew R. Roth

Assignment and Recordation Form Cover Sheet for Vann H. Underhill

\$507, \$40 and \$40 checks to "Commissioner for Patents"

Docket: 0342UR

Subject: Apparatus and Method to Manufacture A Leaf Display Device

Applicant: Mathew R. Roth et al.

I hereby certify the correspondence is being deposited with the United States Postal Service as
EXPRESS MAIL POST OFFICE TO ADDRESSEE in an envelope addressed to: Commissioner
for Patents, MS: Patent Application, P.O. Box 1450, Alexandria VA 22213-1450 on X 9-27-03

Signed X Mathew R. Roth

Mathew R. Roth

Date X 9-27-03

PATENT APPLICATION TRANSMITTAL LETTER

ATTORNEY'S
DOCKET NO.

0342UR

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of Roth, M. et al..for Apparatus and Method to Manufacture A Leaf Display Device.

Enclosed are:

- ☒ 8 sheets of drawing.
☒ Non-Publication Certification and Request Under 35 U.S.C. 122(b)(2)(B)
☐ an assignment of the invention to _____ application.
☐ a certified copy of a _____
☐ associate power of attorney.
☒ verified statement to establish small entity status under 37 CFR 1.9 and 1.27.

CLAIMS AS FILED

For	No. Filed	No. Extra
BASIC FEE		
TOTAL CLAIMS 30	25 - 20 =	10
INDEP. CLAIMS	4 - 3 =	1
MULTIPLE DEPENDENT CLAIM PRESENT		0

If the difference in col. 1 is less than zero, enter "0" in col. 2.

SMALL ENTITY

RATE	FEE
	\$ 375
10 X \$9 =	\$ 90
1 X \$42 =	\$ 42
+ \$135 =	\$ 0
TOTAL	\$ 507

OTHER THAN A
SMALL ENTITY

RATE	FEE
	\$710
X \$18 =	\$
X \$78 =	\$
+ \$260 =	\$
TOTAL	\$

- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____.
☐ A duplicate copy of this sheet is enclosed.
☒ A check in the amount of \$ 507 to cover the filing fee is enclosed.
☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. _____. A Duplicate copy of this sheet is enclosed.
☐ Any additional filing fees required under 37 CFR 1.16
☐ Any patent application processing fees under 37 CFR 1.17
☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.
☐ Any patent application processing fees under 37 CFR 1.17.
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b).

x 9-27-03
date

x 
signature

Applicant or Patentee Roth, M. et al. Attorney's
 Serial or Patent No.: _____ Docket No. 0342UR
 Filed or Issued: _____
 For: Apparatus and Method to Manufacture A Leaf Display Device

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
 STATUS (37 CFR 1.9 (f) and 1.27 (B)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled _____

Apparatus and Method to Manufacture A Leaf Display Device
 described in
☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e). Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern or organization
☐ persons, concerns or organizations listed below *


*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION
 FULL NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION
 FULL NAME _____
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Mathew R. Roth
 NAME OF INVENTOR


 Signature of Inventor

Date

Vann H. Underhill
 NAME OF INVENTOR


 Signature of Inventor

Date

Frank E. Underhill, Jr.
 NAME OF INVENTOR


 Signature of Inventor

Date

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)**

First Named Inventor: Roth, Mathew R.

Title: Apparatus and Method to Manufacture
A Leaf Display Device

Atty Docket No.: 0342UR

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

9-29-03

Date



Signature

Mathew R. Roth

Typed or printed name

9/29/03

Date



Signature

Vann H. Underhill

Typed or printed name

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the nearest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed at the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**